#### § 20.6

(b) Reasonable factors other than age. A recipient is permitted to take an action otherwise prohibited by §20.4 which is based on a factor other than age, even though that action may have a disproportionate effect on persons of different ages. An action may be based on a factor other than age only if the factor bears a direct and substantial relationship to the normal operation of the program or activity or to the achievement of a statutory objective.

#### § 20.6 Burden of proof.

The burden of proving that an age distinction or other action falls within the exceptions outlined in §20.5 is on the recipient of Federal financial assistance.

## Subpart C—Responsibilities of DOC Recipients

#### §20.7 General responsibilities.

Each DOC recipient has primary responsibility to ensure that its programs and activities are in compliance with the Act, the general regulations, and these regulations, and shall take steps to eliminate violation of the Act.

- (a) Each DOC recipient will provide an assurance that the program for which it is receiving Federal financial assistance will be conducted in compliance with all requirements for the Act and these and other DOC regulations. A recipient also has responsibility to maintain records, provide information, and to afford DOC reasonable access to its records and facilities to the extent necessary to determine whether it is in compliance with the Act and these regulations.
- (b) Recipient assessment of age distinctions. (1) To assess the recipient's compliance with the Act, DOC may, as part of a compliance review under §20.10 or a complaint investigation under §20.11, require a recipient employing the equivalent or 15 or more employees, to complete, in a manner specified by the responsible Department official, a written self-evaluation of any age distinction imposed in its program or activity receiving Federal financial assistance from DOC.
- (2) Whenever an assessment indicates a violation of the Act and the DOC reg-

ulations, the recipient shall take corrective action.

#### §20.8 Notice to subrecipients.

Where a recipient passes on Federal financial assistant from DOC to subrecipients, the recipient shall give subrecipients written notice of their obligations under the Act and these regulations

#### §20.9 Information requirements.

Upon DOC's request, each recipient shall provide access and make information available for DOC to determine whether the recipient is complying with the Act and these regulations.

# Subpart D—Investigation, Conciliation, and Enforcement Procedures

#### §20.10 Compliance reviews.

- (a) DOC may conduct compliance reviews and pre-award reviews or use other similar procedures that will permit it to investigate and correct violations of the Act and these regulations. DOC may conduct such review even in the absence of a complaint against a recipient. The review may be as comprehensive as necessary to determine whether a violation of the Act and these regulations has occurred.
- (b) If a compliance review of preaward review indicates a violation of the Act or these regulations, DOC will attempt to achieve voluntary compliance with the Act. If voluntary compliance cannot be achieved, DOC will arrange for enforcement as described in §20.15.

### § 20.11 Complaints.

- (a) Any person, individually, or as a member of a class, or on behalf of others, may file a complaint with DOC alleging discrimination prohibited by the Act or these regulations based on an action occurring on or after July 1, 1979. A complainant shall file a complaint within 180 days from the date the complainant first had knowledge of the alleged act of discrimination. However, for good cause shown, DOC may extend this time limit.
- (b) DOC will attempt to facilitate the filing of complaints wherever possible,